S/N 10/660,206

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

WILD ET AL.

Examiner:

J. PARKIN

Serial No.:

10/660,206

Group Art Unit:

1648

Filed:

SEPTEMBER 10, 2003

Docket No.:

11613.64USC1

Confirmation No.:

7645

Customer No.:

23552

Title:

METHODS OF ELICITING BROADLY NEUTRALIZING

ANTIBODIES TARGETING HIV-1 GP41

CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patcht and Trademark Office on February 19

2004

Name: Sheryl A. Boerboom

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in an International Search Report mailed February 10, 2004.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2)(i), a copy of the U.S. patents and U.S. patent publications listed on the enclosed Form 1449 are not provided, as this application was filed after June 30, 2003. A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2).

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C. P.O. Box 2903 Minneapolis, Minnesota 55402-0903 (612) 332-5300

Date: Johnson # 9, 2004

Katherine M. Kowalchyk

Reg. No. 36,848

KMK:sab PATENT TRADEMARK OFFICE Date Mailed: FEBRUARY 19, 2004

Sheet 1 of I

FORM 1449* INFORMATION DISCLOSURE STATEMENT	Docket Number: 11613.64USC1	Application Number: 10/660,206		
IN AN APPLICATION	Applicant: WILD ET AL.			
(Use several sheets if necessary)	Filing Date: SEPTEMBER 10, 2003	Group Art Unit: 1648		

		U	.s. patent documen	TS			
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO	DATE OPRIATE
	5,464,933	11/07/1995	BOLOGNESI ET AL.				
	5,656,480	08/12/1997	WILD ET AL.				
	2001/0047080 Al	11/29/2001	ROOT ET AL.		[
	2003/0082525 A1	05/01/2003	ROOT ET AL.				
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		FOR	EIGN PATENT DOCUM	ENTS	·		
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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			S (Including Author, Title, I		Pages, Etc.)		
	Copy of ti	e International	Search Report dated Februar	ry 10, 2004			
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23552 ATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

^{*}Substitute Disclosure Statement Form (PTO-1449)